

BN/SB/08A/B

Substitute for form B-3971-1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/694,994
Filing Date	29 October 2003
First Named Inventor	Daisaku OKUWAKI et al
Group Art Unit	2879
Confirmation No.	5322
Attorney Docket Number	OKUWAKI =2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Date
Considered

9/11/05

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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449A/PTO

Complete If Known

Application Number	10/694,944
Filing Date	October 29, 2003
First Named Inventor	Adam GROOTHUIS
Art Unit	3763
Examiner Name	To Be Assigned

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 9 Attorney Docket Number P1237 (1737.2640000)

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
gk	AA1	US-3,540,431	11-17-1970	Mobin-Uddin	
	AB1	US-3,952,747	04-27-1976	Kimmell, Jr.	
	AC1	US-4,425,908	01-17-1984	Simon	
	AD1	US-4,494,531	01-22-1985	Gianturco	
	AE1	US-4,577,631	03-25-1986	Kreamer	
	AF1	US-4,619,246	10-28-1986	Molgaard-Nielsen et al.	
	AG1	US-4,643,184	02-17-1987	Mobin-Uddin	
	AH1	US-4,662,885	05-05-1987	DiPisa, Jr.	
	AI1	US-4,688,553	08-25-1987	Metals	
	AJ1	US-4,723,549	02-09-1988	Wholey et al.	
	AK1	US-4,727,873	03-01-1988	Mobin-Uddin	
	AL1	US-4,765,332	08-23-1988	Fischell et al.	
	AM1	US-4,772,258	09-20-1988	Marangoni et al.	
	AN1	US-4,790,812	12-13-1988	Hawkins, Jr. et al.	
	AO1	US-4,794,928	01-03-1989	Kletschka	
	AP1	US-4,817,600	04-04-1989	Herms et al.	
	AQ1	US-4,842,579	06-27-1989	Shiber	
d	AR1	US-4,873,978	10-17-1989	Ginsburg	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
		Country Code ⁴ Kind Code ⁵ (if known)				
gk	BA1	DE 3417738 A1	11-14-1985	Hengst Walter GmbH & Co. KG		
	BB1	DE 3900517 A1	07-20-1989	MO Med Inst. Pirogova		
	BC1	DE 4030998 C2	04-18-1991	Strecker		
	BD1	FR 2580504	10-24-1986	Pieronne		
	BE1	WO 92/03097	03-05-1992	EVI corp.		
d	BF1	WO 93/12723	07-08-1993	SciMed Life Systems, Inc.		

Examiner Signature		Date Considered	4/11/05
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³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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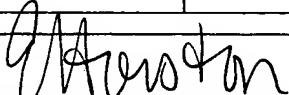
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Substitute for form 1449A/PTO				Complete If Known	
				Application Number	10/694,944
				Filing Date	October 29, 2003
				First Named Inventor	Adam GROOTHUIS
				Art Unit	3763
				Examiner Name	To Be Assigned
				Attorney Docket Number	P1237 (1737.2640000)
Sheet	2	of	9		

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If Known)				
	AA2	US-4,921,484		05-01-1990	Hillstead	
	AB2	US-4,926,858		05-22-1990	Gifford, III et al.	
	AC2	US-4,943,297		07-24-1990	Saveliev et al.	
	AD2	US-4,957,501		09-18-1990	Lahille et al.	
	AE2	US-4,990,156		02-05-1991	Lefebvre	
	AF2	US-5,011,488		04-30-1991	Ginsburg	
	AG2	US-5,053,008		10-01-1991	Bajaj	
	AH2	US-5,074,871		12-24-1991	Groshong	
	AI2	US-5,090,960		02-25-1992	Don Michael	
	AJ2	US-5,092,839		03-03-1992	Kipperman	
	AK2	US-5,102,415		04-07-1992	Guenther et al.	
	AL2	US-5,108,418		04-28-1992	Lefebvre	
	AM2	US-5,108,419		04-28-1992	Reger et al.	
	AN2	US-5,133,733		07-28-1992	Rasmussen et al.	
	AO2	US-5,147,379		09-15-1992	Sabbaghian et al.	
	AP2	US-5,152,777		10-06-1992	Goldberg et al.	
	AQ2	US-5,160,342		11-03-1992	Reger et al.	
	AR2	US-5,234,458		08-10-1993	Metais	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ⁴ Kind Code ⁵ (in known)				
	BA2	WO 94/12136	06-09-1994	Boston Scientific Corp.		
	BB2	WO 96/01591	01-25-1996	Microvena Corp.		
	BC2	WO 99/22673	05-14-1999	C.R. Bard, Inc.		
	BD2					
	BE2					
	BF2					

Examiner Signature		Date Considered	4/11/05
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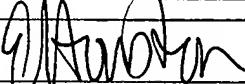
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Sheet	3	of	9	Attorney Docket Number	P1237 (1737.2640000)
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		Number-Kind Code ² (If Known)			
	AA3	US-5,242,462	09-07-1993	Ei-Nounou et al.	
	AB3	US-5,300,086	04-05-1994	Gory et al.	
	AC3	US-5,324,304	06-28-1994	Rasmussen	
	AD3	US-5,329,942	07-19-1994	Gunther et al.	
	AE3	US-5,344,427	09-06-1994	Cottenceau et al.	
	AF3	US-5,350,398	09-27-1994	Pavcnik et al.	
	AG3	US-5,370,657	12-06-1994	Irie	
	AH3	US-5,375,612	12-27-1994	Cottenceau et al.	
	AI3	US-5,376,094	12-27-1994	Kline	
	AJ3	US-5,383,887	01-24-1995	Nadal	
	AK3	US-5,413,586	05-09-1995	Dibie et al.	
	AL3	US-5,415,630	05-16-1995	Gory et al.	
	AM3	US-5,421,832	06-06-1995	Lefebvre	
	AN3	US-5,484,424	01-16-1996	Cottenceau et al.	
	AO3	US-5,531,788	07-02-1996	Dibie et al.	
	AP3	US-5,549,626	08-27-1996	Miller et al.	
	AQ3	US-5,601,595	02-11-1997	Smith	
	AR3	US-5,626,605	05-06-1997	Irie et al.	

FOREIGN PATENT DOCUMENTS					
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Sheet	4	of	9	Attorney Docket Number	P1237 (1737.2640000)
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		Number-Kind Code ² (If Known)			
9/11	AA4	US-5,649,953	07-22-1997	Lefebvre	
	AB4	US-5,681,347	10-28-1997	Cathcart et al.	
	AC4	US-5,683,411	11-04-1997	Kavteladze et al.	
	AD4	US-5,695,518	12-09-1997	Laerum	
	AE4	US-5,695,519	12-09-1997	Summers et al.	
	AF4	US-5,720,764	02-24-1998	Naderlinger	
	AG4	US-5,725,550	03-10-1998	Nadal	
	AH4	US-5,746,758	05-05-1998	Nordgren et al.	
	AI4	US-5,746,767	05-05-1998	Smith	
	AJ4	US-5,755,790	05-26-1998	Chevillon et al.	
	AK4	US-5,769,816	06-23-1998	Barbut et al.	
	AL4	US-5,795,322	08-18-1998	Boudewijn	
	AM4	US-5,800,457	09-01-1998	Gelbfish	
	AN4	US-5,800,525	09-01-1998	Bachinski et al.	
	AO4	US-5,810,874	09-22-1998	Lefebvre	
	AP4	US-5,814,064	09-29-1998	Daniel et al.	
↓	AQ4	US-5,827,324	10-27-1998	Cassell et al.	
↓	AR4	US-5,836,968	11-17-1998	Simon et al	

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INFORMATION DISCLOSURE
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Sheet	5	of	9	Attorney Docket Number	P1237 (1737.2640000)
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	AA5	US-5,836,969	11-17-1998	Kim et al.	
	AB5	US-5,846,260	12-08-1998	Maahs	
	AC5	US-5,853,420	12-29-1998	Chevillon et al.	
	AD5	US-5,876,367	03-02-1999	Kaganov et al.	
	AE5	US-3,521,620	07-28-1970	Cook	
	AF5	US-3,966,938	06-29-1976	Ott et al.	
	AG5	US-4,456,017	06-26-1984	Miles	
	AH5	US-4,664,113	05-12-1987	Frisbie et al.	
	AI5	US-4,682,599	07-28-1987	Konomura	
	AJ5	US-4,719,924	01-19-1988	Crittenden et al.	
	AK5	US-4,723,549	02-09-1988	Wholey et al.	
	AL5	US-4,723,936	02-09-1988	Buchbinder et al.	
	AM5	US-4,727,873	03-01-1988	Mobin-Uddin	
	AN5	US-4,793,348	12-27-1988	Palmaz	
	AO5	US-4,794,928	01-03-1989	Kletschka	
	AP5	US-4,848,342	07-18-1989	Kaltenbach	
	AQ5	US-5,002,560	03-26-1991	Machold et al.	
	AR5	US-5,041,093	08-20-1991	Chu	

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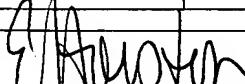
(Use as many sheets as necessary)

Sheet	6	of	9	Attorney Docket Number	P1237 (1737.2640000)
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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9/10	AA6	US-5,071,407	12-10-1991	Termin et al.	
	AB6	US-5,114,403	05-19-1992	Clarke et al.	
	AC6	US-5,135,487	08-04-1992	Morrill et al.	
	AD6	US-5,161,427	11-10-1992	Fukuda et al.	
	AE6	US-5,397,305	03-14-1995	Kawula et al.	
	AF6	US-5,397,306	03-14-1995	Nobuyoshi et al.	
	AG6	US-5,480,382	01-02-1996	Hammerslag et al.	
	AH6	US-5,800,391	09-01-1998	Kontos	
	AI6	US-5,827,324	10-27-1998	Cassell et al.	
	AJ6	US-5,865,800	02-02-1999	Mirarchi et al.	
	AK6	US-5,911,734	06-15-1999	Tsugita et al.	
	AL6	US-5,935,139	08-10-1999	Bates	
	AM6	US-5,972,019	10-26-1999	Engelson et al.	
	AN6	US-6,051,014	04-18-2000	Jang	
	AO6	US-6,066,158	05-23-2000	Engelson et al.	
	AP6	US-6,090,097	07-18-2000	Barbut et al.	
	AQ6	US-6,123,715	09-26-2000	Amplatz	
	AR6	US-6,165,200	12-26-2000	Tsugita et al.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

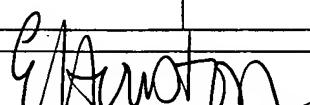
(Use as many sheets as necessary)

Sheet	7	of	9	Complete If Known
				Application Number
				10/694,944
				Filing Date
				October 29, 2003
				First Named Inventor
				Adam GROOTHUIS
				Art Unit
				3763
				Examiner Name
				To Be Assigned
				Attorney Docket Number
				P1237 (1737.2640000)

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If Known)			
GAA	AA7	US-6,171,327	01-09-2001	Daniel et al.	
	AB7	US-6,179,859	01-30-2001	Bates et al.	
	AC7	US-6,203,552	03-20-2001	Bagley et al.	
	AD7	US-6,235,044	05-22-2001	Root et al.	
	AE7	US-6,258,115	07-10-2001	Dubrul	
	AF7	US-6,264,672	07-24-2001	Fisher	
	AG7	US-6,277,138	08-21-2001	Levinson et al.	
	AH7	US-6,277,139	08-21-2001	Levinson et al.	
	AI7	US-6,325,815	12-04-2001	Kusleika et al.	
	AJ7	US-6,336,934	01-08-2002	Gilson et al.	
	AK7	US-6,346,116	02-12-2002	Brooks et al.	
	AL7	US-6,364,895	04-02-2002	Greenhalgh	
	AM7	US-6,368,339	04-09-2002	Amplatz	
	AN7	US-6,375,670	04-23-2002	Greenhalgh	
	AO7	US-6,395,014	05-28-2002	Macoviak et al.	
	AP7	US-6,398,773	06-04-2002	Bagaoisan et al.	
	AQ7	US-6,432,122	08-13-2002	Gilson et al.	
V	AR7	US-4,080,706	03-28-1978	Heilman et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ⁴ Kind Code ⁵ (in known)				

Examiner Signature		Date Considered	4/11/05
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/694,944
				Filing Date	October 29, 2003
				First Named Inventor	Adam GROOTHUIS
				Art Unit	3763
				Examiner Name	To Be Assigned
Sheet	8	of	9	Attorney Docket Number	P1237 (1737.2640000)

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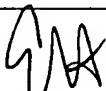
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Substitute for form 1449B/PTO				<i>Complete If Known</i>	
				<i>Application Number</i>	10/694,944
				<i>Filing Date</i>	October 29, 2003
				<i>First Named Inventor</i>	Adam GROOTHUIS
				<i>Art Unit</i>	3763
				<i>Examiner Name</i>	To Be Assigned
				<i>Attorney Docket Number</i>	P1237 (1737.2640000)
Sheet	9	of	9		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	T?
	CA1	PALESTRANT, Aubrey M, et al., Comparative In Vitro Evaluation of the Nitinol Inferior Vena Cava Filter, Radiology 145:351-355, November 1982.	

Examiner Signature		Date Considered	12/11/05
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